

# Mechanical Reinforcement of Nanoparticle Thin Films Using Atomic Layer Deposition

Majemite I. Dafinone,<sup>†</sup> Gang Feng,<sup>‡</sup> Teresa Brugarolas,<sup>†</sup> Kwadwo E. Tetey,<sup>†</sup> and Daeyeon Lee<sup>†,\*</sup>

<sup>†</sup>Department of Chemical and Biomolecular Engineering, University of Pennsylvania, Philadelphia, Pennsylvania 19104, United States, and <sup>‡</sup>Department of Mechanical Engineering, Villanova University, Villanova, Pennsylvania 19085, United States

Thin films are one of the most important structures that will enable the utilization of nanomaterial-based products for a variety of advanced applications including energy conversion and storage, electronics, optics, and biomedical applications.<sup>1,2</sup> The assembly of multiple nanomaterials into a thin film enables the generation of multifunctional structures with synergistic properties. While the possibilities for fabricating multifunctional thin films are endless, one of the major bottlenecks that prevents the widespread use of nanoparticle thin films (NTFs), we believe, is their poor mechanical reliability and durability.<sup>3</sup> Without mechanical reinforcement, these NTFs tend to fracture and abrade under small loads, making them unsuitable for commercial and industrial applications.

Thermal treatment of nanoparticle thin films at high temperatures (>500 °C), also known as calcination, improves their mechanical properties by sintering the nanoparticles together without significantly altering the morphology of the NTFs. However, calcination is not amenable for commercially important polymeric substrates, such as polycarbonate, and for NTFs that contain organic materials.<sup>4</sup> In addition, calcination may be adversely affected by the presence or, in some instances, by the absence of a chemical species in the substrate. For instance, the presence of sodium ions in a glass substrate has been shown to reduce the elastic modulus and hardness of an NTF upon calcination.<sup>5</sup> In contrast, another study found that the presence of sodium ions is essential for improving the adhesion of silica NTFs on glass substrates.<sup>6</sup>

Other thin film mechanical reinforcement methods that can be employed at relatively low temperatures (<200 °C) have been developed and investigated. These include the

**ABSTRACT** Thin films composed of nanoparticles exhibit synergistic properties, making them useful for numerous advanced applications. Nanoparticle thin films (NTFs), however, have a very low resistance to mechanical loading and abrasion, presenting a major bottleneck to their widespread use and commercialization. High-temperature sintering has been shown to improve the mechanical durability of NTFs on inorganic substrates; however, these high-temperature processes are not amenable to organic substrates. In this study, we demonstrate that the mechanical durability of TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle layer-by-layer (LbL) films on glass and polycarbonate substrates can be drastically improved using atomic layer deposition (ALD) at a relatively low temperature. The structure and physical properties of ALD-treated TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films are studied using spectroscopic ellipsometry, UV–vis spectroscopy, contact angle measurements, and nanoindentation. The composition of TiO<sub>2</sub>/SiO<sub>2</sub> LbL films as a function of ALD-cycle number is determined through solution ellipsometry, enabling the determination of the characteristic pore size of nanoparticle thin films. Mechanical durability is also investigated by abrasion tests, showing that the robustness of ALD-treated nanoparticle films is comparable to that of thermally calcined films. More importantly, ALD-treated nanoparticle films retain the original functionality of the TiO<sub>2</sub>/SiO<sub>2</sub> LbL films, such as superhydrophilicity and antireflection properties, demonstrating the utility of ALD as a reinforcement method for nanoparticle thin films.

**KEYWORDS:** atomic layer deposition · nanoparticle thin films · mechanical durability · layer-by-layer assembly · nanoindentation

sorption and cross-linking of a polymerizable sol–gel precursor<sup>7</sup> and the chemical vapor deposition of inorganic precursors into particle assemblies.<sup>8</sup> These approaches, in general, have been shown to be suitable for assemblies involving colloidal particles that are larger than several hundred nanometers in size. The modification of nanoparticle thin films (composed of sub-100 nm nanoparticles) using these methods, however, could lead to undesirable changes in the film morphology such as the clogging of the pores due to capillary condensation.<sup>9,10</sup> It is also difficult to precisely control chemical vapor deposition and sorption processes, which may result in NTFs undergoing drastic changes in structure and functionality.<sup>8</sup>

More recently, hydrothermal calcination was shown to be effective in reinforcing

\* Address correspondence to daeyeon@seas.upenn.edu.

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silica nanoparticle-containing thin films on polymer substrates.<sup>6</sup> This process can be used at a relatively low temperature of 121 °C, making it suitable for a variety of substrates including polymers. However, the process significantly alters the NTF morphology through a dissolution and redeposition mechanism. This morphology change could adversely affect the functionality of NTFs. For instance, silica nanoparticle thin films lose their superhydrophilicity upon hydrothermal calcination.<sup>6</sup>

In this study, we explore atomic layer deposition (ALD) as a method to reinforce nanoparticle thin films on inorganic and organic substrates. Atomic layer deposition is a self-limiting gas-phase nanofabrication method for growing atomic scale thin films of metal oxides and metals.<sup>11</sup> Unlike chemical vapor deposition (CVD), the gas precursors are pulsed sequentially and strictly separated from each other in the gas phase by a purge step, preventing gas-phase reactions and allowing the use of highly reactive precursors. This procedure results in the formation of films at low temperatures and provides the ability to precisely control the thickness of the desired layer.<sup>12</sup> ALD can be performed at a wide range of temperatures, from room temperature to 400 °C, making it an attractive method for modifying NTFs on polymer substrates.<sup>11</sup> A previous report demonstrates a simplified version of ALD, which uses tetramethoxysilane and ammonia vapor, which can be used to grow multiple silica layers.<sup>13</sup> These layers increase the stiffness of colloidal crystals made of ~300 nm particles. Other reports also demonstrate that the deposition of ultrathin Al<sub>2</sub>O<sub>3</sub> by ALD on Li ion battery electrodes improves their capacity retention, cycling stability, and mechanical properties.<sup>14–17</sup>

The objective of this study is to investigate how ALD modifies the mechanical durability as well as the wetting and optical properties of all-nanoparticle thin films on both inorganic and organic substrates. In addition, we characterize the structural changes of nanoparticle thin films upon ALD treatment, which allows us to estimate the pore size of nanoparticle thin films. The NTFs used in this study are prepared by layer-by-layer assembly (LbL). This versatile method enables the preparation of uniform and conformal films over a large area.<sup>3,18</sup> LbL assembly of oppositely charged nanoparticles has been used to fabricate multifunctional NTFs; for example, a previous study has demonstrated that the sequential deposition of cationic TiO<sub>2</sub> and anionic SiO<sub>2</sub> nanoparticles leads to the generation of all-nanoparticle thin films with antireflection and antifogging properties.<sup>19</sup> We investigate the effect of ALD on the mechanical properties of TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films by nanoindentation and an abrasion test. We also characterize other physical properties of ALD-treated NTFs using scanning electron microscopy, contact angle measurement, ellipsometry, and UV–vis spectroscopy.

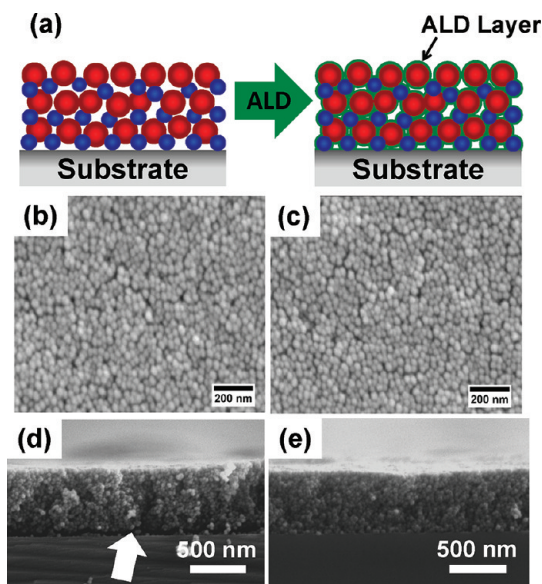
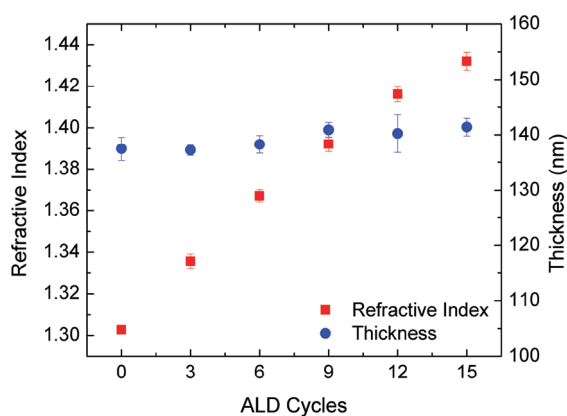


Figure 1. (a) Schematic illustration showing TiO<sub>2</sub>/SiO<sub>2</sub> LbL films before (left) and after atomic layer deposition (right). Frontal-SEM images of 5-bilayer TiO<sub>2</sub>/SiO<sub>2</sub> LbL films on glass (b) before and (c) after 10 Al<sub>2</sub>O<sub>3</sub> ALD cycles. Cross-sectional SEM images of 25-bilayer TiO<sub>2</sub>/SiO<sub>2</sub> LbL films on Si wafers (d) before and (e) after 10 Al<sub>2</sub>O<sub>3</sub> ALD cycles. The white arrow in (d) indicates the delamination of the LbL film from the Si substrate (delamination in the as-assembled film is observed through the entire width of the SEM image).<sup>28</sup> Magnified images of the film/substrate interface are provided in Supporting Information (Figure S1).

## RESULTS AND DISCUSSION

**Effect of Atomic Layer Deposition on the Structure of Layer-by-Layer Assembled Nanoparticle Thin Films.** Atomic layer deposition is used to deposit conformal coatings of Al<sub>2</sub>O<sub>3</sub> around nanoparticles in porous TiO<sub>2</sub>/SiO<sub>2</sub> layer-by-layer thin films at 150 °C as illustrated in Figure 1a. ALD is a widely used method to generate conformal thin films over complex, porous structures.<sup>20–22</sup> To retain the superhydrophilicity of as-assembled TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films, it is critical to maintain the network of interstitial void volume without blocking the pores.<sup>19</sup> Al<sub>2</sub>O<sub>3</sub> ALD is chosen as the oxide coating because it is a well-defined ALD system<sup>23</sup> with very efficient surface reactions and is also known to adhere to various substrates.<sup>24</sup> Also, Al<sub>2</sub>O<sub>3</sub> ALD has been shown to form conformal coatings around nanoparticles.<sup>25–27</sup>

Frontal-view scanning electron microscopy (SEM) (Figure 1b, c) of TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films on glass before and after 10 cycles of Al<sub>2</sub>O<sub>3</sub> ALD shows that the surface morphology of the LbL films is not drastically affected by the ALD process. The similarity in the SEM images is likely due to the thickness (~1 nm in total, 1.1 Å per cycle<sup>23</sup>) of the Al<sub>2</sub>O<sub>3</sub> ALD layer being insignificant compared to the size (~22 nm) of silica nanoparticles (outermost layer) used in this study. Cross-sectional SEM images (Figure 1d, e) of 25-bilayer TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films on Si wafers before and after 10 cycles of Al<sub>2</sub>O<sub>3</sub> ALD also confirm that the

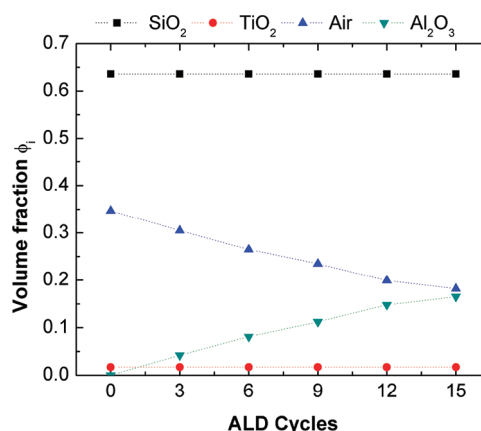


**Figure 2.** Film thickness and refractive index of 5-bilayer  $\text{TiO}_2/\text{SiO}_2$  nanoparticle LbL films on glass as a function of the number of  $\text{Al}_2\text{O}_3$  ALD cycles, determined by spectroscopic ellipsometry.

film thickness does not change upon ALD modification. However, it is interesting to note that during the preparation of the cross-section samples, the as-assembled  $\text{TiO}_2/\text{SiO}_2$  LbL film delaminated from the substrate (white arrow in Figure 1d), whereas the ALD-treated nanoparticle film remained intact. This result is an indication that ALD improves the adhesion of  $\text{TiO}_2/\text{SiO}_2$  nanoparticle films onto the substrates.

The thickness and refractive index of nanoparticle thin films treated with an increasing number of ALD cycles are determined using spectroscopic ellipsometry. Figure 2 reveals a pronounced increase in the refractive index of  $\text{TiO}_2/\text{SiO}_2$  nanoparticle LbL films, which implies that successful ALD treatment was achieved; that is,  $\text{Al}_2\text{O}_3$  coats around nanoparticles and reduces the void volume within the film.<sup>29</sup> In contrast, the change in film thickness due to the ALD treatment is negligible, and this result is consistent with the SEM images seen in Figure 1d, e.

A recently developed method based on solution ellipsometry enables the determination of thin film porosity and composition through the measurement of the effective refractive index of nanoporous films in air and in water.<sup>19,30,31</sup> We use this method to determine the composition of ALD-treated  $\text{TiO}_2/\text{SiO}_2$  nanoparticle LbL films as a function of the number of ALD cycles. To use this method, the refractive indices of each component need to be determined. We obtain these values by generating single-component nanoparticle thin films of either  $\text{TiO}_2$  or  $\text{SiO}_2$  nanoparticles (see Supporting Information for details).<sup>19</sup> Through this method, the refractive indices of  $\text{TiO}_2$  and  $\text{SiO}_2$  nanoparticles are determined to be 2.30 and 1.44, respectively. The refractive index of  $\text{Al}_2\text{O}_3$  at 633 nm is determined to be 1.73 from the spectroscopic ellipsometry of an  $\text{Al}_2\text{O}_3$  ALD film (100 cycles) deposited on a Si wafer. This value is consistent with the previously reported values of refractive index for ALD-deposited  $\text{Al}_2\text{O}_3$ , which lie between 1.5 and 1.7.<sup>23,32,33</sup>



**Figure 3.** Volume fraction ( $\phi_i$ ) of each component ( $i$ ) in  $\text{TiO}_2/\text{SiO}_2$  5-bilayer LbL films on Si wafers with increasing ALD cycles determined using solution ellipsometry.

The effect of increasing ALD cycles on the porosity and composition of each component in  $\text{TiO}_2/\text{SiO}_2$  nanoparticle LbL films on Si wafers is plotted in Figure 3. As the deposition proceeds, the volume fraction of air (*i.e.*, porosity) decreases, while that of deposited  $\text{Al}_2\text{O}_3$  increases. These results agree with the idea that although ALD does not drastically change the thickness of the entire film as illustrated in Figure 1a, it leads to the generation of conformal  $\text{Al}_2\text{O}_3$  layers around nanoparticles, which reduces the porosity of the films.

Characterization of the porosity of  $\text{TiO}_2/\text{SiO}_2$  nanoparticle LbL films as a function of ALD cycles provides a unique opportunity to determine the pore size of  $\text{TiO}_2/\text{SiO}_2$  LbL films. It has been challenging to directly determine the pore size of these nanoparticle thin films using traditional methods such as BET measurements because of the limited volume of thin films that are typically generated. We develop a simple model that relates the change in porosity to the characteristic pore size ( $d_p$ ) of  $\text{TiO}_2/\text{SiO}_2$  LbL films (for details see Supporting Information). These nanoparticle LbL films have a tortuous network of pores; thus, the pore morphology is not easily defined. To obtain a reasonable range for the characteristic pore size, we estimate  $d_p$  for two-dimensional and three-dimensional pores, of which pore volume scales as  $d_p^2$  and  $d_p^3$ , respectively.

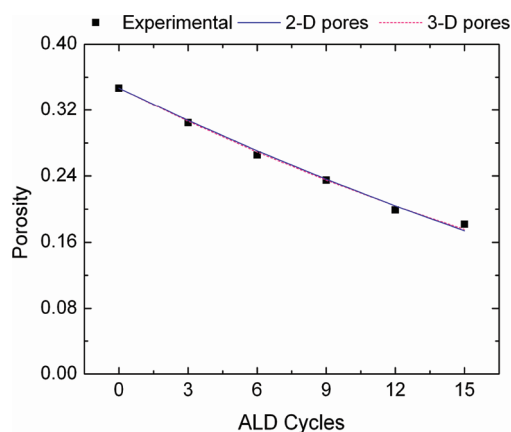
The simple model that relates the porosity to the number of ALD cycles ( $x$ ) (Figure 4) can be expressed as

$$P(x) = \frac{P_0(d_p - 2xt)^n}{d_p^n} \quad (1)$$

where  $n = 2$  and 3 for 2-D (*e.g.*, cylindrical) and 3-D (*e.g.*, spherical) pores, respectively.  $P$ ,  $d_p$ ,  $x$ , and  $t$  represent the porosity, initial characteristic pore size, number of ALD cycles, and thickness of each ALD layer, respectively. By fitting eq 1 to the change in the porosity (Figure 3) as a function of the number of ALD cycles, the average initial pore size of the as-assembled 7 nm  $\text{TiO}_2/22$  nm  $\text{SiO}_2$  LbL films is determined as 11.3 nm for 2-D pores and 16.3 nm for 3-D pores. This result is

consistent with previous studies, which show that the pore size in various random aggregates of nanoparticles is similar to or smaller than the size of the nanoparticles.<sup>34–38</sup>

On the basis of the estimated pore size, we can verify that the precursor exposure time employed during ALD is suitable to treat nanoporous TiO<sub>2</sub>/SiO<sub>2</sub> LbL films uniformly. ALD on these nanoparticle thin films is controlled by the Knudsen diffusion of trimethylaluminum (TMA) and water within the pores since the mean free paths of these molecules are much greater than the size of the pores (*i.e.*, Knudsen number > 1).<sup>21,39,40</sup> The minimum exposure time required for uniform surface coverage can be calculated (for details see Supporting Information) by determining the diffusion coefficient of the precursors.<sup>40,41</sup> The Knudsen diffusivity of TMA from kinetic theory is  $\sim 10^{-8}$  m<sup>2</sup>/s. The minimum exposure time required ( $\tau$ ) for nanoparticle thin films (thickness = 150 nm) is estimated to be



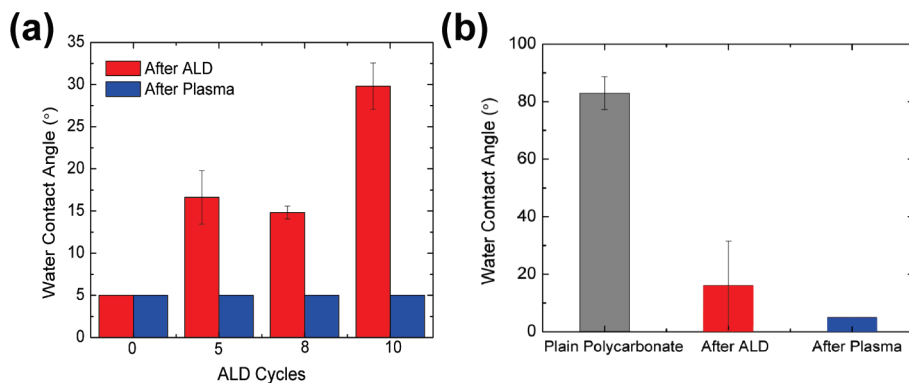
**Figure 4.** Determination of pore size of TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films on Si wafers based on eq 1. Filled squares represent porosity obtained from solution ellipsometry. Solid blue line and dotted red line represent the fitting of eq 1 to the experimental results using 2-D and 3-D pore models, respectively.

on the order of  $10^{-3}$  s. This time is much smaller than the actual exposure time ( $\sim 5$  s) of TMA and water during ALD. We further extend our analysis to estimate  $\tau$  for TMA as a function of ALD cycles because repeated ALD deposition will decrease the pore size and, in turn, increase  $\tau$  (see Supporting Information for details).<sup>42</sup> This analysis shows that the employed ALD exposure time is much greater than the minimum exposure time needed even if the pores become smaller due to ALD treatment. Thus, we believe the ALD parameters used in this study are sufficient to uniformly treat our TiO<sub>2</sub>/SiO<sub>2</sub> LbL thin films.

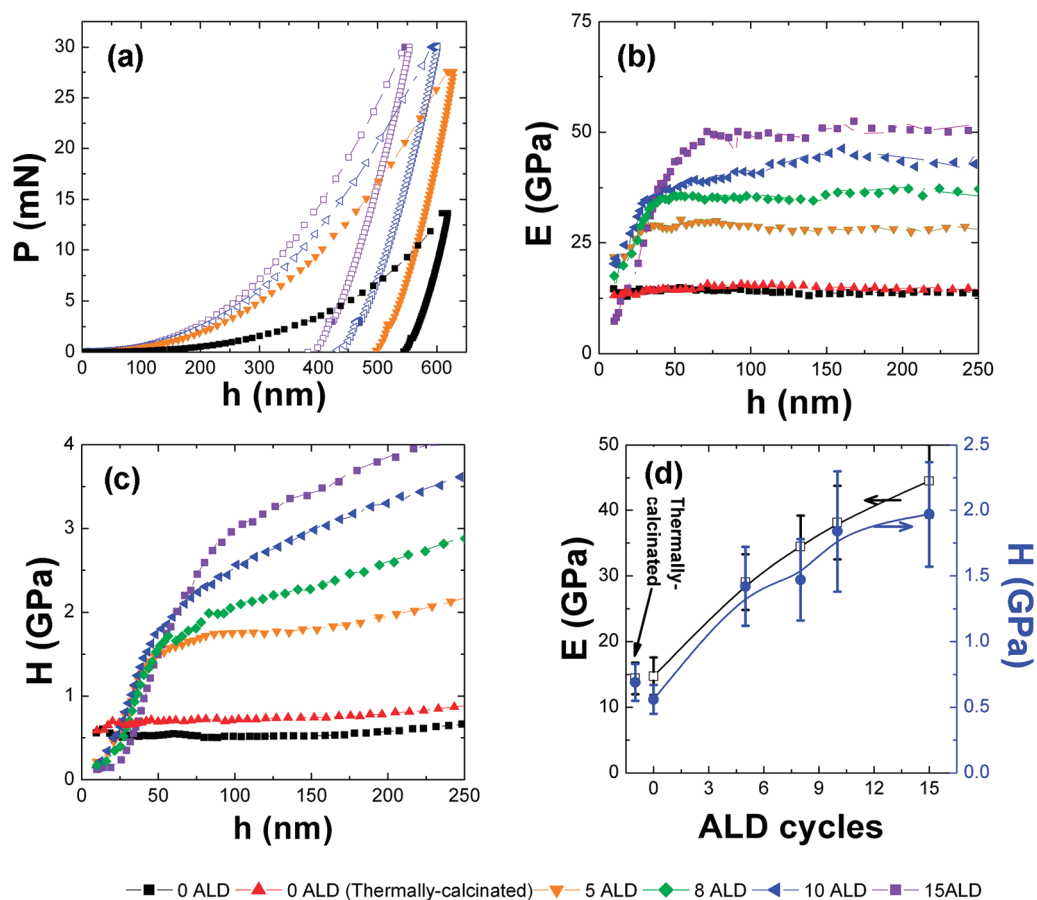
**Effect of Atomic Layer Deposition on the Superhydrophilicity of TiO<sub>2</sub>/SiO<sub>2</sub> Nanoparticle Layer-by-Layer Films.** TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films are superhydrophilic; that is, water spreads on the surface with a contact angle of less than 5° within 0.5 s because of the presence of nanoscale interstitial pores.<sup>19,43</sup> The superhydrophilicity of the nanoparticle films results in antifogging properties, making them useful in numerous practical applications. It is important that TiO<sub>2</sub>/SiO<sub>2</sub> LbL films retain this useful property after mechanical reinforcement. Therefore, the contact angle of water is measured on ALD-treated LbL films on top of glass and polycarbonate substrates.

The contact angle of water on TiO<sub>2</sub>/SiO<sub>2</sub> films on glass is observed to increase with the number of ALD cycles, as seen in Figure 5a.<sup>44</sup> During Al<sub>2</sub>O<sub>3</sub> ALD, it is likely that organic residues from the precursors, such as excess methyl groups, remain on the surface after deposition. Impurities in the films have been reported to be at the 0.1–1 atom % level.<sup>12</sup> To completely remove such organic residues, ALD-modified TiO<sub>2</sub>/SiO<sub>2</sub> LbL films are treated with oxygen plasma. In all cases, superhydrophilicity (contact angle less than 5°) is restored in the films, and these films remain superhydrophilic even after 30 days.

The wetting behavior of TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films deposited on polycarbonate substrates is also



**Figure 5.** Water contact angle of TiO<sub>2</sub>/SiO<sub>2</sub> LbL films with increasing ALD cycles on (a) glass and (b) polycarbonate substrates before and after plasma treatment. “After ALD” and “After Plasma” in (b) denote the water contact angle on ALD-treated SiO<sub>2</sub>/TiO<sub>2</sub> LbL films atop Al<sub>2</sub>O<sub>3</sub>-primed polycarbonate before and after plasma treatment, respectively. Error bars are the standard deviation of at least three contact angle measurements. Contact angle of 5° denotes complete spreading, which makes it difficult to exactly determine the contact angle. The actual value of the contact angle in such cases is less than 5°.



**Figure 6.** Nanoindentation results as a function of ALD cycles (from 0 to 15) for  $\text{TiO}_2/\text{SiO}_2$  LbL films on Si wafers. (a) The indentation load ( $P$ ) as a function of indentation depth ( $h$ ) ( $h = 0$  nm at the LbL film surface). (b) Modulus ( $E$ ) and (c) hardness ( $H$ ) as a function of  $h$ . (d)  $E$  (plateau values) and  $H$  as a function of the number of ALD cycles, where each point is the statistical average for the corresponding CSM results of 36 tests and in the region of  $h = 9.5\text{--}10.5\%$  of the film thickness. Data in (b) are the results after correcting for the substrate effect.<sup>51</sup> Red triangles represent results obtained from thermally calcinated samples.

studied. Unmodified polycarbonate is inherently hydrophobic (Figure 5b). This hydrophobicity combined with the lack of electrostatic interactions between polycarbonate and charged nanoparticles causes non-uniform deposition of  $\text{TiO}_2/\text{SiO}_2$  LbL films on unmodified polycarbonate.<sup>45</sup> Recent studies have shown that homogeneous oxide thin films can be formed on polymer surfaces using ALD.<sup>23,46,47</sup> We find that priming the polycarbonate substrates with  $\text{Al}_2\text{O}_3$  ALD (100 cycles) coatings before LbL assembly significantly improves the uniformity of  $\text{TiO}_2/\text{SiO}_2$  films. Zeta potential measurements show that the ALD-deposited  $\text{Al}_2\text{O}_3$  layer has a positive surface potential of  $20.6 \pm 4$  mV. Therefore, ALD-treated polycarbonate has a net positive surface charge, facilitating the uniform deposition of negatively charged  $\text{SiO}_2$  nanoparticles and, subsequently, the alternate deposition of the two nanoparticles. ALD-treated  $\text{SiO}_2/\text{TiO}_2$  LbL films on ALD-modified polycarbonate become highly hydrophilic, as shown in Figure 5b. Subsequent oxygen plasma treatment renders the surface superhydrophilic, demonstrating the importance of removing organic residues. As will be discussed in detail later, the pretreatment of

polycarbonate with  $\text{Al}_2\text{O}_3$  ALD is also critical in enhancing the mechanical durability of the nanoparticle thin films.

**Effect of Atomic Layer Deposition on the Mechanical Properties of Layer-by-Layer Assembled Nanoparticle Thin Films.** The effect of ALD on the small-scale mechanical properties of  $\text{TiO}_2/\text{SiO}_2$  nanoparticle thin films is characterized using nanoindentation. Nanoindentation provides quantitative information on the applied force ( $P$ ) and the corresponding indentation depth ( $h$ ) for describing the mechanical behavior of thin films (Figure 6a).<sup>48</sup> A continuous stiffness measurement (CSM) technique is employed, which enables continuous measurement of the elastic contact stiffness ( $S$ )—and thus hardness ( $H$ ) and modulus ( $E$ )—of ALD-treated  $\text{TiO}_2/\text{SiO}_2$  LbL films by superimposing a small harmonic force on the quasi-static main load.<sup>49</sup>

On the basis of the CSM technique, we confirm that the modulus ( $E$ ) and hardness ( $H$ ) of  $\text{TiO}_2/\text{SiO}_2$  LbL films on Si wafers increase drastically and systematically with increasing ALD cycles, as seen in Figure 6. We find that the modulus and the hardness, calculated on the basis of the conventional Oliver–Pharr method,

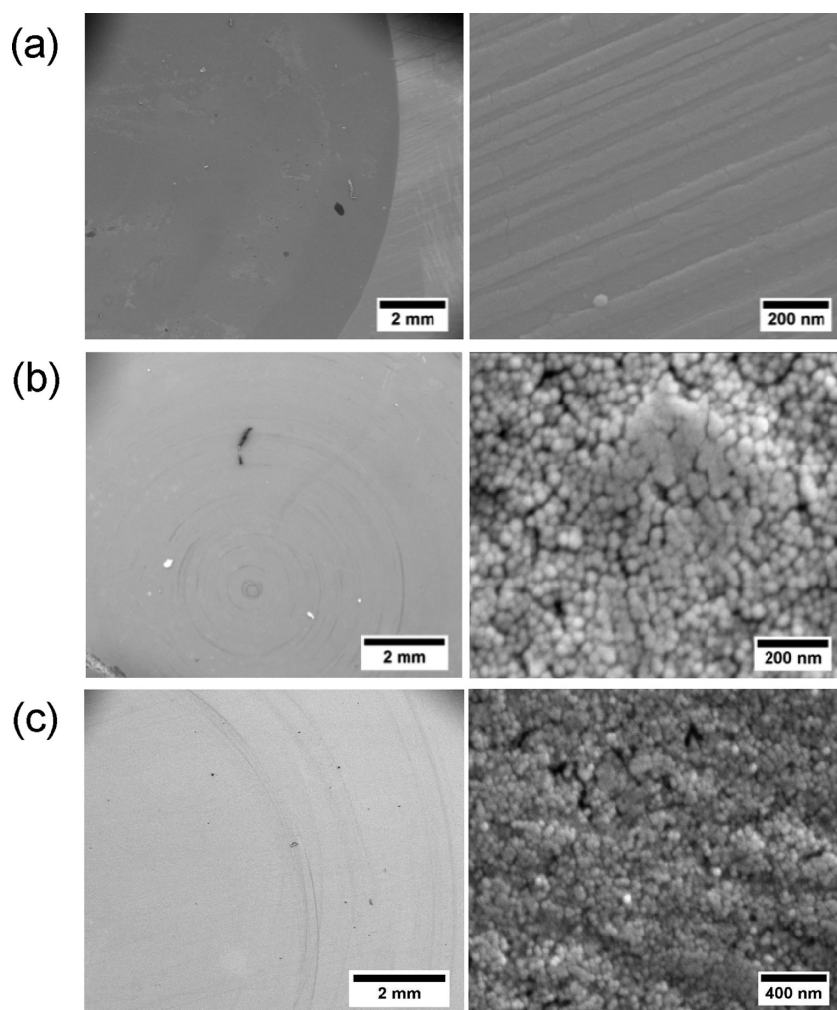
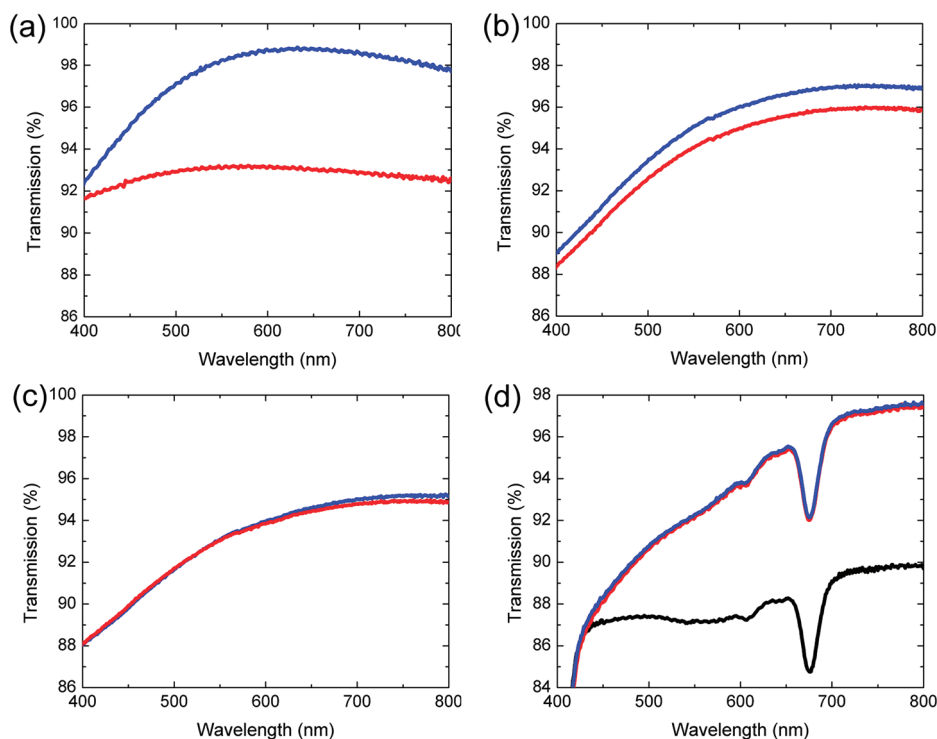


Figure 7. SEM micrographs of (a) 5-bilayer  $\text{TiO}_2/\text{SiO}_2$  nanoparticle LbL films on glass; (b) 5-bilayer  $\text{TiO}_2/\text{SiO}_2$  films treated with 10  $\text{Al}_2\text{O}_3$  ALD on glass; (c) 5-bilayer  $\text{SiO}_2/\text{TiO}_2$  films treated with 8  $\text{Al}_2\text{O}_3$  ALD on polycarbonate primed with 100  $\text{Al}_2\text{O}_3$  ALD. Images in the left and right columns are taken at low and high magnification, respectively.

increase with the indentation depth  $h$  without a plateau region (Figure S3 and Figure 6c). This absence of plateau regions is likely due to the effect of the stiffer and harder Si substrate<sup>49–51</sup> (the hardness for 0 ALD  $\text{TiO}_2/\text{SiO}_2$  LbL films shows plateau regions). We correct the modulus data for the substrate effect using a recently introduced method as shown in Figure 6b.<sup>51</sup> The substrate-effect-corrected modulus reaches a plateau for indentation depth ( $h$ ) greater than 50 nm. In Figure 6c, the steep hardness increase in the region of  $0 < h < 50$  nm is mainly due to the surface roughness. It is well-known that the hardness would be underestimated if  $h$  is comparable with the surface roughness (about 20 nm for the nanoparticle thin films<sup>19</sup>) due to the contribution of the incompact surface to the indented volume.<sup>52</sup> Figure 6d summarizes the increase in the modulus and hardness of ALD-treated films with the number of ALD cycles (the black and blue curves represent the modulus ( $E$ ) and hardness ( $H$ ), respectively). The first pair of data points, outside the curves, is the modulus and hardness of a thermally calcinated

sample. Interestingly, the  $E$  and  $H$  of thermally calcinated nanoparticle thin films are inferior to those of ALD-treated samples (Figure 6d), illustrating the potential of ALD as a highly effective reinforcement approach for various nanoparticle thin films.

The macroscopic mechanical durability of ALD-treated nanoparticle thin films is tested under mechanical shear using an abrasion testing protocol. A normal stress of 30 kPa is applied on the films with a rotational shear of 150 rpm, which is similar to an ASTM method, ASTM D 1044, to test the durability of optical coatings (see Supporting Information for details).<sup>6</sup> After abrasion testing, the films are characterized using scanning electron microscopy as seen in Figure 7. As-assembled films, without ALD treatment, on glass are completely abraded and delaminated from the substrates (Figure 7a). Nanoparticle films on glass with 10 cycles of  $\text{Al}_2\text{O}_3$  ALD show a small number of circular scratches visible at a low magnification (Figure 7b, left). On the basis of the high magnification SEM images, these scratches are revealed as regions with flattened nanoparticle layers



**Figure 8.** UV–vis spectra of 5-bilayer  $\text{TiO}_2/\text{SiO}_2$  nanoparticle LbL films on glass treated with (a) 0, (b) 5, and (c) 10  $\text{Al}_2\text{O}_3$  ALD cycles. (d) 5-bilayer  $\text{SiO}_2/\text{TiO}_2$  LbL films treated with 8- $\text{Al}_2\text{O}_3$  ALD cycles on ALD-primed polycarbonate (100  $\text{Al}_2\text{O}_3$  ALD cycles) before and after abrasion testing. Blue and red curves represent transmission spectra before and after 30 kPa abrasion testing, respectively. Black curve represents the spectrum of unmodified polycarbonate substrate used in this study.

(Figure 7b, right) showing good adhesion to the substrate rather than regions of film delamination. Similar flattening has previously been reported and is attributed to the deformation of nanoparticle films under the influence of frictional heating and tribochemical wear.<sup>6</sup> It can be inferred from these results that ALD improves adhesion between nanoparticle thin films and their substrate and uniformly modifies the entire nanoparticle thin films (*i.e.*, from top to bottom); otherwise, these nanoparticle thin films would undergo complete delamination during the abrasion test.

Abrasion testing is also performed on  $\text{TiO}_2/\text{SiO}_2$  nanoparticle LbL films on polycarbonate. The ALD-treated  $\text{TiO}_2/\text{SiO}_2$  LbL films on unmodified polycarbonate are completely delaminated during abrasion testing. LbL assembly on polycarbonate is challenging due to the weak interfacial adhesion between the inorganic nanoparticles and the polymer surface.<sup>45</sup> As described earlier, the priming of polycarbonate substrates with a  $\sim 10$  nm  $\text{Al}_2\text{O}_3$  ALD layer (100  $\text{Al}_2\text{O}_3$  ALD cycles) is critical for enhancing the uniformity of the deposited LbL films. More importantly, a subsequent ALD treatment of  $\text{SiO}_2/\text{TiO}_2$  LbL films on ALD-primed polycarbonate drastically improves the abrasion resistance of the nanoparticle films, as seen in Figure 7c. The absence of the  $\text{Al}_2\text{O}_3$  primer layer on polycarbonate results in relatively weak films even after ALD treatment. We believe the effectiveness of ALD treatment on the nanoparticle films is enhanced due to the improved

adhesion of nanoparticles to the  $\text{Al}_2\text{O}_3$  primer layer. Our results clearly indicate the importance of pretreating polycarbonate substrates with an  $\text{Al}_2\text{O}_3$  primer layer.

The effect of abrasion testing on ALD-treated  $\text{TiO}_2/\text{SiO}_2$  nanoparticle LbL films is also determined by optical characterization. As-assembled  $\text{TiO}_2/\text{SiO}_2$  LbL films have antireflection properties and increase the peak transmission of glass to approximately 99%; thus, any mechanical damages to the film would compromise its optical property.<sup>19</sup> After the abrasion of as-assembled  $\text{TiO}_2/\text{SiO}_2$  LbL films on glass, the nanoparticles are completely removed from the surface and the transmission in the visible range (400–700 nm) decreases substantially as shown in Figure 8a. Figure 8b, c shows that the peak transmission of the nanoparticle film-coated glass treated with 5 and 10 cycles of  $\text{Al}_2\text{O}_3$  ALD is 98% and 95%, respectively. This reduction in the antireflection properties is attributed to the increased refractive index of the films upon ALD treatment. However, Figure 8b, c shows that the difference in transmission before and after abrasion decreases with an increasing number of ALD cycles, indicating an improvement in mechanical durability. These results demonstrate that there is a trade-off between antireflection property and mechanical durability for ALD-treated nanoparticle thin films on glass.

The effectiveness of ALD as a reinforcement method is clearly demonstrated when a polycarbonate substrate

is used. The presence of an ALD-treated SiO<sub>2</sub>/TiO<sub>2</sub> LbL film on ALD-primed polycarbonate drastically reduces the reflection, as seen in Figure 8d. Unmodified polycarbonate has a peak transmission of 88% in the visible range,<sup>53</sup> whereas ALD-treated SiO<sub>2</sub>/TiO<sub>2</sub> films improve the peak transmission to 98% due to the dramatic suppression of reflection. Abrasion testing on the treated surface has a negligible effect on the antireflection properties, illustrating the robustness of these films after ALD. Considering that these SiO<sub>2</sub>/TiO<sub>2</sub> LbL films on polycarbonate cannot be mechanically reinforced using thermal calcination, the obtained results based on ALD are significant. Our results clearly demonstrate that ALD is a useful method to impart mechanical robustness to all-nanoparticle thin films on polycarbonate substrates without substantially compromising the useful superhydrophilic and antireflection properties.

## CONCLUSION

In summary, we have demonstrated that atomic layer deposition is a versatile method for imparting

mechanical durability to nanoparticle thin films on inorganic and organic substrates without drastically changing the structure and functional properties of the NTFs. In addition, by measuring the porosity as a function of ALD cycles using solution ellipsometry, we determine the characteristic pore size of TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films. Our results based on the minimum exposure time ( $\tau$ ) analysis and the abrasion test strongly indicate that Al<sub>2</sub>O<sub>3</sub> ALD uniformly coats TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films from the substrate to the top surface. The ability to use low-temperature ALD to reinforce NTFs on polymers is essential, as polymers and plastics are widely used in various applications such as food packaging, flexible electronic devices, and biomedical devices.<sup>46,54–56</sup> In addition to mechanical reinforcement, ALD presents a unique opportunity to deposit various oxides and metals that could impart additional functionalities, such as catalytic and electrical properties,<sup>57–60</sup> to nanoparticle thin films, making these films more attractive for various advanced applications.<sup>45,61</sup>

## METHODS

**Materials.** Anatase titanium dioxide nanoparticles, STS-100 (18 wt % TiO<sub>2</sub> suspension in water, average particle size of 7 nm, and specific surface area of 320 m<sup>2</sup>/g), were generously provided by Ishihara Sangyo Kaisha, Ltd. (Japan). Silica nanoparticles, Ludox TM-40 (40 wt % SiO<sub>2</sub> suspension in water, average particle size of 22 nm, and specific surface area of 140 m<sup>2</sup>/g), were purchased from Sigma-Aldrich (St. Louis, MO). The average size of nanoparticles is provided by the suppliers. Glass slides were purchased from Fisher. Polycarbonate sheets were purchased from Small Parts, Inc.

**Layer-by-Layer Assembly.** Seven nanometer TiO<sub>2</sub> and 22 nm SiO<sub>2</sub> nanoparticles are used for layer-by-layer assembly. Nanoparticles are diluted to 0.03 wt % in deionized (DI) water (18.2 M $\Omega$ -m, Barnstead Nanopure), and each suspension is adjusted to pH 3.0 with HCl. The exposure time of substrates in nanoparticle suspensions is 10 min. The three rinse steps in DI water are 2, 1, and 1 min long. Glass substrates are degreased by sonication in 1.0 M NaOH for 15 min. The substrates are then thoroughly rinsed with DI water and dried with compressed air. Layer-by-layer deposition is performed using a HMS series programmable slide stainer from Carl Zeiss, Inc.

**Atomic Layer Deposition.** Al<sub>2</sub>O<sub>3</sub> layers are deposited at 150 °C on TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films using a Savannah 200 atomic layer deposition system from Cambridge NanoTech Inc. Before deposition, samples are placed in the ALD chamber and heated to 150 °C. The temperature is maintained at 150 °C during the deposition.<sup>62</sup> For Al<sub>2</sub>O<sub>3</sub> ALD, trimethylaluminum and water vapor are sequentially pulsed through the reaction chamber.<sup>11</sup> N<sub>2</sub> gas is used to purge the chamber after injecting each precursor. A monolayer is formed after each ALD growth cycle, and film growth occurs monolayer by monolayer until the desired thickness is obtained. The reaction sequence for Al<sub>2</sub>O<sub>3</sub> deposition on plain polycarbonate before layer-by-layer assembly is (i) H<sub>2</sub>O dose for 0.015 s; (ii) purge for 20 s; (iii) TMA dose for 0.015 s; and (iv) purge for 20 s.

For ALD on highly porous films, the precursors are pumped in between pulses, with the stop valve closed (exposure mode).<sup>42</sup> The Al<sub>2</sub>O<sub>3</sub> ALD reaction sequence on LbL films is (i) close stop valve, which fills the reactor with nitrogen; (ii) H<sub>2</sub>O dose for 0.015 s; (iii) wait time of 5 s to allow precursor gas to diffuse

through the sample; (iv) open stop valve; (v) purge for 5 s; (vi) close stop valve; (vii) TMA dose for 0.015 s; (viii) wait time of 5 s; (ix) open stop valve; and (x) purge system for 5 s.

**Characterization.** Thickness and refractive indices of TiO<sub>2</sub>/SiO<sub>2</sub> nanoparticle LbL films are determined using a spectroscopic ellipsometer, Alpha-SE, and the Complete EASE software package (J.A. Woollam). Refractive index values are obtained at the wavelength of 633 nm. The transmission spectra of samples in the 400–800 nm range are recorded by a Cary 5E UV–vis–NIR spectrophotometer (Varian, Inc.). Scanning electron microscopy is performed using an FEI 600 Quanta FEG ESEM at 5 kV. All samples are sputter-coated with Au/Pd prior to imaging and are mounted onto SEM stubs using double-sided carbon tape.

The mechanical properties are characterized by nanoindentation using a Nano Indenter G200 from Agilent Technologies Inc. with enhanced dynamic contact module (DCM II) and continuous stiffness measurement. A Berkovich indenter and the DCM II are used to perform indentations. The tip area function is calibrated using fused silica, and a constant modulus  $E$  is achieved in a depth range of 5–500 nm. Before performing any indentation, the indenter is stabilized to achieve a thermal drift rate less than 0.05 nm/s. For all indentations, constant strain rate (0.05 s<sup>-1</sup>) loading is used. The CSM option allows the depth profiles of the mechanical properties to be tested, and the CSM harmonic displacement (amplitude) is set as 0.5 nm. For each sample, a 6 × 6 array is performed, and the result of each 6 × 6 array is then analyzed using Agilent Analyst software to determine the statistical results.

Surface zeta potential measurement of 100 ALD layers of Al<sub>2</sub>O<sub>3</sub> is performed using a Delsa Nano C (Beckman Coulter). A flat surface cell in which the coated Si wafer forms the upper cell surface is used. To probe the charge state of the surface, standard latex particles (Beckman Coulter) are used. The velocity profile of the standard latex particles undergoing electrophoresis through the flat surface cell is fitted to the Mori and Okamoto equation.<sup>63</sup> From this fit, the zeta potential of the film is calculated using the Smoluchowski equation as  $\zeta = (\mu \times \eta) / (\epsilon_r \times \epsilon_0)$ . In this equation,  $\zeta$  represents the zeta potential (V),  $\mu$  the electrophoretic mobility (m<sup>2</sup>/V s), and  $\eta$  the viscosity of water (Pa·s);  $\epsilon_0$  (F/m) and  $\epsilon_r$  are the dielectric constants of vacuum and water, respectively.



Mechanical durability of the films is determined by applying a rotational shear stress to the films. This abrasion test is adopted from a standard cleaning cloth abrasion test described in ASTM D 1044, which uses a normal stress of approximately 28 kPa (see Supporting Information for details).

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**Supporting Information Available:** Additional information on the pore size model, the composition determination of ALD-treated nanoparticle thin films, the estimation of minimum ALD exposure time, uncorrected modulus data based on nanoindentation, and the experimental procedure for the abrasion test. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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